

Charles A. King Trust Postdoctoral Research Fellowship Program

Bank of America, N.A., Melissa MacGillivray Dane, and Susan Monahan, Co-Trustees

Initial Stage Proposal Guidelines

Grant Cycle 2027

SUBMISSION DEADLINE

Initial Stage: Tuesday,
November 10th, 2026
2:00 PM, Eastern Time

[Terms of the Award](#)

[Application Instructions](#)

Award Summary

Award Amount:

Ranging from \$200,700 to \$221,600 total, inclusive of a \$25,000 annual flexible expense allowance. See [Funding Information](#) for more details.

Award Dates:

October 1, 2027 – September 30, 2029

Eligibility:

Who: Senior postdoctoral researchers and mentored clinician-scientists (MD, DO or equivalent).

Where: Working in Massachusetts. See [Eligibility Criteria](#) for more details

Research Focus:

Basic and Preclinical Science Program: Basic science research that seeks to increase understanding of the underlying biological processes relevant to human health and disease or move findings from basic research towards clinical application.

Clinical and Implementation Research Program: Research involving *human* studies including physiological research, behavioral science and health education research, translational research (the application of bench research to patient care), epidemiological research, health services and policy research, outcomes research, and research about healthcare delivery and population health, regardless of specialty or discipline.

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Program Timeline

The 2027 Charles A. King Trust Postdoctoral Fellowship Program will support projects via a two-stage application process.

Program Timeline:
Initial Stage Applications are due November 10, 2026 .
Decisions regarding the initial stage will be announced in mid-February 2027 .
Invited applicants will be asked to submit Full Proposals due March 30, 2027 .
Final notification to applicants will be made in early- to mid-August 2027 .
Funding begins on October 1, 2027 .



Program Overview and Statement of Purpose

The Charles A. King Trust was established in 1936, to “*support and promote the investigation of human disease and the alleviation of human suffering through improved treatment.*”

The primary goal of the Charles A. King Trust Postdoctoral Fellowship Program is to support research that focuses on the causes of human disease with the mission of improving its treatment. The program provides funding to postdoctoral fellows and clinician scientists in the mid- to late-stages of their research training in basic and preclinical science, and clinical, health services, population health, and implementation research to help them achieve their goals of becoming independent investigators in biomedical research.

The King Trust seeks to support the next generation of scientific leaders who are dedicated to creating a diverse, equitable, and inclusive research environment, and who contribute to the wider research community and promote positive research culture. Such efforts will not only help to advance scientific discovery through diversity of thought but also maximize the impact of biomedical research funding. Applicants and mentors are encouraged to speak to their commitment to promoting diversity, equity, and inclusion, and positive research culture within the application. To promote and enable diversity in biomedical research, the King Trust is committed to awarding researchers of all backgrounds, including racial/ethnic groups that are underrepresented in science.

The Charles A. King Trust Postdoctoral Fellowship Program is administered by Health Resources in Action (the Administrator), on behalf of the Trustee, Bank of America, N.A. (the Funder). Health Resources in Action (HRIA) is a non-profit organization that partners with individuals, organizations, and communities to transform the practices, policies, and systems that improve health and advance equity.

The Sara Elizabeth O’Brien Trust, Bank of America, N.A., Trustee, and the Simeon J. Fortin Charitable Foundation, Bank of America, N.A., Trustee and private foundations also contribute to this Program. Please reference these funds below regarding their research focus and eligibility.

Research Focus

In keeping with the Foundation’s mission, the program is designed to support research across biomedical fields that focuses on the causes of human disease with the mission of improving treatment. Additional funding from the Sarah Elizabeth O’Brien Trust and Simeon J. Fortin Charitable Foundation supports research focused on cancer or vision. Applicants in these research areas are highly encouraged due to the availability of these dedicated fellowships.

Applicants may apply under one of the two separate, but linked programs:

The **King Trust Basic and Preclinical Science Award Program** supports scientists in the mid-to-late stages of their postdoctoral research training. Proposals in the **basic sciences** seek to increase our understanding of the underlying biological processes relevant to human health and disease. **Preclinical sciences** seek to move findings from basic research towards clinical application. The King Trust aligns its definitions of [basic sciences](#) and [preclinical sciences](#) with the National Institutes of Health.



Basic Research¹ is defined as a systematic study directed toward greater knowledge or understanding of the fundamental aspects of phenomena and of observable facts without specific applications towards processes or products in mind.

Preclinical Research² connects the basic science of disease with human medicine. Scientists may develop model interventions to further understand the basis of a disease or disorder and find ways to treat it. Testing is carried out using cell or animal models of disease; samples of human or animal tissues; or computer-assisted simulations of drug, device, or diagnostic interactions within living systems.

The **King Trust Clinical and Implementation Research Award Program** supports scientists in the mid-to-late stages of their research training. Clinical or implementation research funded by this program supports *human* studies including physiological research, behavioral science and health education research, translational research (the application of bench research to patient care), epidemiological research, health services and policy research, outcomes research, and research about healthcare delivery and population health, regardless of specialty or discipline. The King Trust has adopted similar, *but not identical*, definitions for [clinical research](#) and [implementation research](#) from the National Institutes of Health. Research projects involving patient derived tissue, samples, or data; or using cell culture or animal models seeking to understand basic science questions, should be directed to the King Trust Basic Sciences category.

Clinical Research³ includes research with human subjects that is:

1. Patient-oriented research. Research conducted with human subjects (or on material of human origin such as tissues, specimens, and cognitive phenomena) for which an investigator (or colleague) on the proposed study directly interacts with human subjects. It includes: (a) therapeutic interventions, (b) clinical trials, (c) development of new technologies, or (d) mechanisms of human disease that can be directly linked to detection, diagnosis, progression, or severity of disease. Excluded from this definition are *in vitro* studies that utilize human tissues that cannot be linked to a living individual.
2. Epidemiological and behavioral studies.
3. Outcomes research and health services research.

Implementation Science⁴ is the study of methods to promote the adoption and integration of evidence-based practices, interventions, and policies into routine health care and public health settings to improve our impact on population health.

For questions regarding basic versus clinical research, please refer to the [Frequently Asked Questions](#) document.

¹ <https://grants.nih.gov/grants/glossary.htm#BasicResearch>

² <https://ncats.nih.gov/translation/spectrum>

³ <https://grants.nih.gov/grants/glossary.htm#ClinicalResearch>

⁴ <https://cancercontrol.cancer.gov/is/about>



Eligibility Criteria

Applicants must meet the following requirements by the funding start date (October 1, 2027).

Research Experience:

- Applicants must hold a doctoral degree (Ph.D., M.D., D.M.D., M.D./Ph.D., DO, DMD, PharmD, DPT or equivalent) and work in an academic or medical research institution in the Commonwealth of Massachusetts. Degrees obtained outside the United States must be equivalent.
 - Must be engaged in mentored non-independent research (postdoctoral or equivalent). For more information on what defines non-independent research see our [FAQs document](#).
- **Applicants without clinical training:**
 - Must have at least 90% protected time for research
 - Must have completed at least three (3) years and no more than six (6) years of full-time postdoctoral research experience*. Eligible applicants will have begun their full-time postdoctoral work between October 1, 2021 and September 30, 2024;
- **Applicants with clinical training:**
 - Must have at least 70% protected time for research.
 - Must have completed no more than six (6) years of cumulative full-time postdoctoral research experience* since completion of residency (or similar clinical training). Clinicians in fields without formal internship and residency requirements (e.g. PhD Psychologists and PharmD applicants) may have up to six (6) years of cumulative research experience after completion of all clinical education required for licensing. King Trust Award support may not overlap with fellowship support. Clinician-scientists are encouraged to apply for the King Award during the last year of their fellowship program.

***Postdoctoral research experience** is defined as full-time research experience after receiving one's doctoral degree, or completion of residency or equivalent for those with a MD, MD/PhD, PhD, DO, DPT, etc. Experience includes research in a laboratory or similar professional setting either domestically or abroad. Research conducted during research intensive years of clinical fellowship training is counted. In some cases, postdoctoral research may occur after the first doctoral degree but prior to starting residency/fellowship clinical training. For more information on determining completed years of research experience see our [FAQs document](#).

Pauses in Research Experience: For all applicants, if research was interrupted for family or medical leave, or for other extenuating circumstances (including COVID-19, military leave), these months are not considered part of the full-time research experience. Periods of research training in a degree-granting program (i.e., study for a Ph.D., MPH, MSc, or second degree) are excluded. Eligibility extensions for COVID-19 related research disruptions will be limited to a maximum of six months. **To confirm eligibility for pauses to research experience, please contact program staff at KingAwards@hria.org.**

Publications: By the initial-stage application deadline (November 10, 2026), the Applicant must have at least one peer reviewed research article (review articles are not allowed) from their postdoctoral work.



- The Applicant may be a co-author on the publication, however competitive Applicants typically have a first-author publication from the current postdoc lab.
- Applicants with a publication in press or submitted for review will be considered eligible if the article is publicly available as a preprint (e.g., BioRxiv). For publications in press that are not and cannot be made publicly available, please contact program staff to confirm eligibility.

Mentor(s): Apply under the guidance of a Mentor who is an established investigator with an active research program where the Applicant will be conducting research. Mentors are expected to be involved in the planning, execution, and supervision of the proposed research.

- Only one applicant per Mentor may apply per application cycle; there are no institutional limitations on the number of applicants who may submit applications.

Citizenship: United States citizenship is not required; visa documentation is not required.

Concurrent Funding:

- Applicants **may not** hold a concurrent Career Development Award, including an NIH K, KL2 Award, or equivalent award from private sources, at the time of application or award. For more information on what constitutes a Career Development Award, as well as some examples of awards that would deem a potential applicant ineligible, please visit our [FAQs Document](#).
- To encourage the support of fellows who do not currently have significant funding, applicants **are ineligible** if they are the PI on awards that have a combined federal and non-federal funding totaling more than \$100,000 (for applicants without clinical responsibilities) or \$150,000 (for applicants with clinical responsibilities) in direct costs, during the first year of the King Award (October 1, 2027 – September 30, 2028). These amounts refer to external funding only, and do not include the King Award itself, or awards designed to support loan repayment.
- Applicants that have an expiring F Award are welcome to apply, however F awards may not be held concurrently with the King Trust Postdoctoral Fellowship Award.
- **Pending Awards:** Applicants with pending applications to the NIH and/or other funders are encouraged to submit proposals to the King Trust. Applicants should check concurrent funding restrictions for existing awards and are encouraged to contact program staff to confirm eligibility.

It is the responsibility of applicants/awardees to contact program staff regarding the following:

- Applicants must withdraw their proposals if, before the funding start date, they receive a Career Development Award or equivalent, and/or receive an award that increases direct costs above the allowable limits stated above, during the first year of King Award Funding.
- Awardees may be required to relinquish their award if they receive a Career Development Award or equivalent, and/or a faculty appointment, after the fellowship has been awarded. All outstanding Fellowship funds must also be returned.

For questions regarding eligibility, and to confirm eligibility if an exemption is requested, please contact Program staff at KingAwards@hria.org before preparing an application.



Funding Information

The Charles A. King Trust Postdoctoral Fellowship Award is a two-year award that supports salary, 10% fringe allowance, and flexible allowance funds based on the years of relevant research experience since receiving one's doctoral degree, as of the funding start date of October 1, 2027. The funding period is October 1, 2027 – September 30, 2029. The salary for Year 2 will increase to the next level of support. Examples of flexible allowance uses are listed in the [FAQs Document](#).

Salary based on Years of Relevant Postdoctoral Research Experience						
Years of Experience	Salary per Year	10% Fringe Allowance	Flexible Allowance per Year	Year 1 Total	Year 2 Total	Two-Year Total
Less than 1	\$68,000	\$6,800	\$25,000	\$99,800	\$100,900	\$200,700
1	\$69,000	\$6,900	\$25,000	\$100,900	\$102,000	\$202,900
2	\$70,000	\$7,000	\$25,000	\$102,000	\$103,100	\$205,100
3	\$71,000	\$7,100	\$25,000	\$103,100	\$105,300	\$208,400
4	\$73,000	\$7,000	\$25,000	\$105,300	\$107,500	\$212,800
5	\$75,000	\$7,300	\$25,000	\$107,500	\$109,700	\$217,200
6	\$77,000	\$7,500	\$25,000	\$109,700	\$111,900	\$221,600
7	\$79,000	\$7,900	\$25,000	N/A	N/A	N/A

Selection Criteria

It is important to note that these are highly competitive awards. **Prior to applying, we recommend that applicants consult with former awardees and faculty advisors to determine if they are likely to be competitive for this award program.** Visit <https://hria.org/grants/King> to view a list of past awardees.

Research Proposal	▪ The proposed work is original, creative, and impactful, building upon current knowledge and relevant literature, and will significantly increase understanding of human disease and treatment. ▪ The research aims are well conceived, feasible within the proposed timeframe, and necessary to inform the hypothesis. ▪ Role(s) of the mentor(s) is/are clearly outlined and relevant to proposed research project.
Applicant	▪ The applicant is accomplished and has demonstrated increasing independence (e.g., learning new topics or techniques; mentoring/supervision roles; authorship of publications) and has a clear path toward becoming an independent researcher. ▪ The applicant has demonstrated commitment and contributed to the wider research community, for example through: ○ Leadership roles and contributing to the advancement of other investigators accomplishments (e.g., reviewing, mentoring, teaching). ○ Fostering a diverse, equitable, and inclusive environment through volunteer activities or lived experience. ○ Promoting positive research culture through initiatives focused on research integrity, open science, or other volunteer activities.



Notification Schedule

Decisions regarding the initial stage will be announced in **mid-February 2027**.

Invited applicants will be asked to submit Full Proposals due **March 30, 2027**.

Final notifications to Applicants will be made in **early- to mid-August 2027**.

If available, critiques of the grant applications will be provided. Applicant ranking in the review process will not be provided.

Historical Success Rate

Historical Statistics		2021	2022	2023	2024	2025	2026
Basic Science	Eligible Submissions	77	57	105	126	135	122
	Award Recipients	10	19	10	8	11	6
Clinical Science	Eligible Submissions	22	7	25	32	33	41
	Award Recipients	3	2	2	2	2	2

Proposal Writing Tips

Applicants are strongly encouraged to practice and work towards enhancing their grant writing in drafting their applications to maximize their chances of being funded. Mentors should be actively engaged in the preparation of the proposal. *The writing of the proposal will not be directly assessed*; however, it is recognized that clarity of the writing enhances the ability of the reviewers to follow the logical progression of the proposal and assess the impact the work will have on human disease, the applicant's qualifications, ability to conduct the proposed work, and support from the mentor/mentorship team.

The Review Committee is composed of a multi-disciplinary group of researchers with the ability to assess a wide range of proposal topics.

Characteristics of a well-written proposal include the following:

- Funding via non-governmental agencies is different. Make sure the proposal is reflective of the program goals and review criteria.
- Tell the story and sell the proposed work; make sure the background outlines and justifies why the proposed questions are important to answer, and the proposed approach is promising.
- The language should be understandable to a general scientific audience. When jargon must be used, it is clearly explained, and complex technical points are put in context.
- The composition and oversight of the mentorship team should be reflective of an applicant's research and career goals.
- Don't fall victim to proposing to complete too much work. Reflect on the timeline of the work to ensure it can be feasibly completed.
- Provide enough time to obtain feedback and allow for proofing prior to submission.

Applicants are encouraged to seek feedback on their proposals within their institutions. Examples of successful proposals can be found in the grant-writing tip sheets for NIH research grants. Institutions and colleagues may also be willing to provide examples of well-written and successful grant applications.

Suggested resources:

Secrets to Writing a Winning Grant: <https://www.nature.com/articles/d41586-019-03914-5>



<https://grants.nih.gov/grants/how-to-apply-application-guide/format-and-write/write-your-application.htm#Important%20Writing%20Tips>

- **Frequently Asked Questions**
- **Application Forms**

KingAwards@hria.org



Application Instructions

Deadline: Tuesday, November 10, 2026 at 2:00 PM, U.S. Eastern Time

Online Application Instructions:

Applicants will submit their Initial Stage Proposals in the HRiA Award Manager:

<https://hria.us-1.smartsimple.com/>.

Out of fairness to applicants who adhere to the guidelines, applications that do not conform to the stated instructions will be rejected.

The following information will be required for online submission:

☐ **Lead Organization/Applicant Information**

- Name, Contact Information, and Organization Tax ID
- Applicant Information
 - Contact and Demographic Information
 - Degree(s)
 - Full Academic Title
 - Department
 - Years of Completed Full-Time Postdoctoral Research Experience by the funding start date
 - Publication(s), Publication Link
 - Pending NIH K applications
 - Education

☐ **Key Personnel (Collaborators, if any)**

- Primary Mentor
- Other Mentors (maximum of 3), if applicable
- Description of the Mentorship Team

☐ **Institutional Officials Contact Information**

- Authorized Institutional Representative – This individual is responsible for research oversight and is often in the Office of Sponsored Programs. This person signs off on the application to ensure that the Applicant and the Organization have met the eligibility requirements. Applications may not be submitted without Authorized Institutional Representative completing Certification within application.

☐ **Project Information**

- Project Title and Institution where the Proposed Research will be Conducted
- Program (Basic and Preclinical Science or Clinical and Implementation Research)
- Research Focus, if applicable (Cancer or Vision)
- Research Stage, Health Area, Research Classification (multi-select options)
- Keywords
- Project Summary (300 words or less)
 - State the project's broad, long-term objectives and specific aims. Describe concisely the research design and methods for achieving



these goals. This abstract is meant to serve as a succinct and accurate description of the proposed work when separated from the application and will be posted on our website if the project is funded.

- Non-Technical Overview
 - Answer the following questions in ONE SENTENCE EACH, in terms understandable to a non-specialist. 1) What big question(s) will your work answer? 2) Why does this question matter? 3) How will your work answer the big question?
- Experimental Systems to be Utilized (100 words or less)
 - List or describe the experimental systems and techniques that will be used in your research. This information will be used as part of the review committee selection. Please capitalize each word or phrase and separate with a comma. Bullet points preferred.
- Contributions to the Wider Research Community (350 words or less)
 - In a numbered list, briefly describe your contributions to the wider research community, especially activities that are demonstrative of your commitment and approach to improving research culture and creating a diverse, equitable, and inclusive research environment. Please highlight those activities conducted during postdoctoral training.
- Project Resubmission (yes/no)
- Personal Statement (250 words or less)
 - The personal statement should speak to the Applicant's long-term goals and career development plans to reach those goals. It can also highlight the Applicant's significant accomplishments (including positions, honors, awards, and notable projects or funding) and contributions to science (especially those from postdoctoral work).

Attachments

The online application system will have individual upload fields for the following attachments. Any required application templates for the following sections can be found in separate documents located at <https://hria.org/grants/King>

☐ Initial Research Proposal (template provided):

- Research Proposal - Within one page, please describe the proposed research project. Include the following information:
 - Introduction: Provide rationale for the research including current knowledge or gaps in the field. Include sufficient background information to allow non-subject matter experts to assess the proposal.
 - Significance/Impact: Please describe the significance and impact of the proposed research. Speak to how this work will advance the mission of the Charles A. King Trust and "support and promote the investigation of human disease and the alleviation of human suffering through improved treatment."
 - Specific Aims: Describe the research aims, or project objectives, that will test the central hypothesis of the work. Aims should be achievable within the project timeframe.
 - Approach and Analytical Plan: Briefly describe the approach and analytical plan for the proposed specific aims. This should include



information necessary for a reviewer to assess project feasibility (e.g., sample number, recruitment strategy, power analysis, etc.)

- References (not included in page limit)

□ **Applicant Eligibility and Research Experience (template provided):** Complete this form according to the instructions.

- Basic Applicant and Project Information
- Applicant Education, Training, and Career History
- Postdoctoral and Other Significant Publications List
- Applicant Current and Pending Support

Please note: Supplemental materials are not permitted and will be removed (e.g., letters of collaboration or recommendation, institutional letters, etc.).

HRiA is committed to making our resources accessible to everyone. If you require an accommodation or service to access our resources, please contact Program Staff.

We are available to ensure the timely submission of your application and can help with any questions or concerns. Late submissions will be rejected.

Direct any Questions to Program Staff:

KingAwards@hria.org

(9.2026)



Terms of the Award

Charles A. King Trust Postdoctoral Research Fellowship Program

Bank of America, N.A.,
Melissa MacGillivray Dane, and Susan Monahan, Co-Trustees

Terms of the Award – 2027 Grant Cycle

Overview: The Charles A. King Trust Postdoctoral Fellowship Award Program is administered by Health Resources in Action. Health Resources in Action (HRIA) is a nonprofit organization in Boston that advances public health and medical research. Funds are provided by the Charles A. King Trust, Bank of America, N.A., Co-Trustees or other foundation donors.

In order for Bank of America, Private Bank, as Trustee of the Charles A. King Trust (the “Funder”), and Health Resources in Action (the “Administrator”) to carry out our legal responsibilities, we must ask the award recipient (the “Recipient”) and the Recipient’s institution (the “Institution”) as identified in the Application, to read and acknowledge this award agreement (the “Agreement”) for Recipient’s proposed project submitted to the Administrator (the “Project”). The Agreement specifies the Recipient’s and Institution’s obligations for the duration of this award as identified above.

Award Amount and Funding Period:

Awards are made according to the stated schedule. Recipients may postpone the start date for up to three (3) months without an approval, but the revised date must be noted either on the signature page of this Agreement or by an email notification to the Administrator. Longer delays must be approved by the Administrator. A delayed start date will not reduce the total award period; the end date will be adjusted to include the entire period.

Awards are made to non-profit academic, medical, non-governmental or research institutions within the United States on behalf of the Recipients. The Institution is responsible for the administrative and financial management of the Project, including any subcontracts, and maintaining adequate supporting records and receipts of expenditures.

The Charles A. King Trust Postdoctoral Fellowship Award is for salary, 10% fringe allowance, and flexible allowance funds based on the number of years of relevant experience since receiving one’s doctoral degree, as of the funding start date of October 1, 2027. The salary for Year 2 will increase to the next level of support. Funds are provided by the Funder or other foundation donors.

Salary based on Years of Relevant Postdoctoral Research Experience						
Years of Experience	Salary per Year	10% Fringe Allowance	Flexible Allowance per Year	Year 1 Total	Year 2 Total	Two-Year Total
Less than 1	\$68,000	\$6,800	\$25,000	\$99,800	\$100,900	\$200,700
1	\$69,000	\$6,900	\$25,000	\$100,900	\$102,000	\$202,900
2	\$70,000	\$7,000	\$25,000	\$102,000	\$103,100	\$205,100



3	\$71,000	\$7,100	\$25,000	\$103,100	\$105,300	\$208,400
4	\$73,000	\$7,000	\$25,000	\$105,300	\$107,500	\$212,800
5	\$75,000	\$7,300	\$25,000	\$107,500	\$109,700	\$217,200
6	\$77,000	\$7,500	\$25,000	\$109,700	\$111,900	\$221,600
7	\$79,000	\$7,900	\$25,000	N/A	N/A	N/A

Research Disturbances: Upon award funding recommendation notification, the Recipient or recommended principal investigator (“PI”) and the Institution shall confirm that the Recipient’s laboratory (and any laboratories/facilities/staff included in the proposed Project) will be operational, and able to start the work described in the Project’s research proposal by funding start date or within the standard three (3) month delayed start timeframe. Start dates beyond the three (3) month timeframe will be considered with assurances from the Institution.

Institutional Assurances: The Institution and Recipient must adhere to all federal, state, and local regulations regarding the use of human subjects, animals, radioactive or hazardous materials, and recombinant DNA in this Project. It is the responsibility of the Recipient’s Institution to ensure that all approvals (IRB, IACUC, other) are in place prior to releasing any award funds unless the Application stipulates that seeking IRB approval is part of the project aims. The confirmation of the representative of the Institution on the application forms confirms this oversight.

Liability: Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, agents, or directors, to the extent allowed by law.

Funding Provider and Not Sponsor: The Recipient and Institution acknowledges that the Administrator and Funder are solely a provider of certain funding for the research to be performed under an award and are not a sponsor of the research. The Recipient and Institution agrees that it will not make any statement, written or oral, alleging that the Administrator and/or Funder is a sponsor of the research under the award.

Indemnity: To the extent permitted under applicable federal, state, and local laws and regulations which govern the Institution, the Institution shall indemnify and hold the Administrator and Funder, as well as their respective directors, officers, employees, and assigns (the “Indemnified Parties”) harmless from and against any and all costs, losses, or expenses, including reasonable attorneys’ fees, that the Indemnified Parties may incur from any third party claim arising out of or in connection with the Award to the extent caused by the Institution’s or its directors’, officers’, or agents’ acts or omissions, or failure to comply with the terms of this Agreement.

Research Misconduct: Institution certifies that it has established administrative policies as required by Public Health Service Policies on Research Misconduct, 42 CFR § 93, and that Institution and Recipient will comply with the policies and requirements (collectively, the “Policy”) set forth therein. In the unlikely event that a Recipient is involved in an investigation of research and/or financial misconduct directly related to the Project, he or she will be subject to the procedures in place at the Institution as applicable. According to the Policy, research misconduct is defined as the “fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or in reporting research results. It does not include honest error or difference of opinion.”



To the extent legally permissible, the Institution must notify the Administrator of a finding of research and/or financial misconduct related to the Project and may affect the Recipient's continued eligibility for support for the Project.

Anti-Harassment: The Institution shall have in place adequate controls and systems for assuring safe research environments carried out under the supervision of the Principal Investigator so that research is conducted in an environment free of all form of discrimination, harassment, intimidation, threat, and retaliation, expressly including those based on gender, sexual orientation, race, religion, national origin, disability or age. The Institution represents and assures the Administrator that (a) the Institution has in place adequate policy(ies) and procedures for reporting, investigating and addressing allegations of unlawful harassment or discrimination brought to its attention, (b) no member of the Recipient's research team has been determined to have violated its policy(ies) against unlawful harassment or discrimination, and (c) it is not aware that Recipient or anyone on the research team has been convicted or adjudicated as violating harassment or discrimination laws.

The Institution will notify the Administrator (d) if the Recipient or a member of the research team is placed on administrative leave or if any administrative action has been imposed on the Recipient or a member of the research team relating to any finding/determination of an alleged discrimination, harassment, and/or retaliation, and (e) it will promptly report to the Administrator any determinations that any member of the Recipient's research team has violated its applicable anti-harassment or antidiscrimination policy(ies).

The Administrator will review the finding/determination and/or administrative action, and determine the Institution's, Recipient's, and the research team's continued eligibility for support for the Project. Based on the Administrator's review, the Administrator reserves the right to take disciplinary action up to and including termination of the award.

Other Funding: Neither the Institution nor the Recipient will accept funding from another source which will result in an overlap of funding for this Project or result in greater than 100% effort of the Recipient or Key Personnel. The Institution and the Recipient are responsible for determining whether acceptance of this award will jeopardize support they may receive from other sources and ensuring that the Recipient has the capacity required to perform the Project within the proposed timeline. The Recipient will immediately report to the Administrator any additional funding available for activities related to this Project.

Use of the Award Funds: The laws of the United States place certain restrictions on the way funds awarded by charitable trusts and foundations may be expended. **Award funds and any interest earned may be used only for the research project and budget as submitted in the Recipient's Project proposal.** Funds may not be expended for any other purpose without the prior written approval of the Administrator.

The Recipient and Institution must exercise proper stewardship over award funds and ensure that costs charged to the award are allowable, allocable, reasonable, necessary, and consistently applied in line with the Project's accepted proposal and budget. The Institution shall be liable for reimbursement to the Funder of any award funds associated with any inappropriate or unauthorized expenditures or fraudulent or improper conduct involving the use of award funds.



The grant monies which have been awarded, including any interest earned therein, may only be used for the purposes stated in this Agreement.

The Charles A. King Trust Award is for salary, 10% fringe allowance, and flexible allowance funds. At the discretion of the Award Recipient, up to \$25,000 of the annual award amount is designated as an Expense Allowance for research supplies and may be used as flexible funding to cover activities and items such as equipment, health insurance, childcare, publication of scientific data, and travel to scientific meetings that is the sole financial obligation of the Award Recipient. The Award Recipient and Mentor may elect to use the \$25,000 or a portion of it to supplement the Award Recipient's salary, however it cannot be used to supplement the 10% fringe allowance. The grant monies which have been awarded, including any interest earned thereon, may only be used for the purposes stated in the Terms of the Award. The Institution is responsible for administering the salary, which includes a \$25,000 expense allowance per year, and maintaining adequate supporting records for training-related expenses. This Expense Allowance or any portion of it may **not** be used for institutional overhead. Institutions may not automatically deduct any fees from this allowance without the Award Recipients' approval. The allowance will be incorporated equally across the award payments. Any unused expense allowance in Year 1 may be carried over to Year 2 without a formal request. **The Administrator does not withhold any amount for income tax purposes.** It is the responsibility of the Recipient to contact the Internal Revenue Service or the Institution's fiscal office to determine the tax status of the Award.

Funds may not be used for new construction, the renovation of existing facilities, fundraising projects, or endowments. Funds may not be used for any political activity, accumulated deficits, or for any other purpose prohibited by the Internal Revenue Service Code. Funds awarded for the direct costs of the project may not be used for general operating costs. Research-related expenses not directly related to the Project, general office supplies, individual institutional administrative charges in addition to indirect costs (e.g., telephone, other electronic communication, IT network), professional membership dues, and pre-award charges are **not** allowable expenses.

Indirect costs (institutional overhead): Indirect costs may not be charged against the Award.

Supplementation of Fellowship: Because the fellowship salary may not cover total institutional compensation, supplementation by the Institution is permitted and encouraged. The total of all competitive fellowship awards plus any institutional supplementation cannot, however, exceed the annual salary or total compensation for the Recipient's position as determined by the Institution. The Award is primarily for salary and will not change the use of funds to accommodate any supplementation. It is not acceptable to concurrently hold a named fellowship from another source that supports a full salary.

Career Development Awards, an NIH K Award (i.e. K08, K23, K99/R00), F, KL2, R01 or equivalent grant, awarded to the Recipient, cannot supplement the fellowship. In all circumstances, the Administrator must be notified of the receipt of additional funding at least 30 days prior to that Award's start date. If such a grant is received prior to the start of funding, the application must be withdrawn and if the grant is awarded during the fellowship period, the Funder reserves the right to terminate the fellowship on a case-by-case basis. In the case of fellowship termination, any unexpended funds remaining from the Award must be returned to the Administrator or the Funder.



Re-Budgeting: Expenditures are expected to be within reasonable range of the Project budget as accepted by the Administrator. All requests for re-budgeting or reallocation of flexible allowance funds over \$5,000 must be clearly justified in the annual financial report or conveyed in an update to the report to the Administrator a minimum of thirty (30) days prior to requested effective date of change. The request must include the current allocation of resources along with specific detail and reason for the reallocation. If the Institution makes a request for re-budgeting or reallocation outside of the annual progress reporting process, Institution must contact Program Staff to obtain the required forms.

Financial Responsibilities of Award Recipient Institution: The Institution will keep systematic records of all expenditures relating to the Project. Vouchers consisting of bills, invoices, cancelled checks, receipts, etc. will be retained by the Institution for three (3) years after the close of the award period and will be available for inspection by representatives of Funder during normal business hours and upon reasonable notice throughout this period. The Funder may, at their expense, examine, audit, or have audited the records of the Institution insofar as they relate to Project activities supported by this award.

Carryover of Funds: All requests to carry forward unspent funding from one year's budget to the next must be clearly justified in the annual financial report. Amounts greater than \$25,000 will be scrutinized and may be disallowed if adequate justification is not provided.

No-Cost Extension: A no-cost extension ("NCE") for up to twelve (12) months may be granted upon receipt and approval of an NCE request. The NCE request form must be submitted between 30 and 90 days prior to the end of the award period. Incomplete forms will not be processed. The NCE request form includes a section for justifying the extension, the unexpended balance, and a timeline for expenditure of the remaining funds. A final scientific report is due at the completion of the extension period. Any portion of the award not expended at the conclusion of the extended period must be returned to the Administrator, within sixty (60) days.

Changes in Award Status: Any changes in the Project's research design including changes to/omission of specific aims described in the Recipient's accepted Project proposal require a formal written request and prior approval before implementation. Changing of Project plans without prior approval may result in the suspension of payments, early termination of the award, and/or reimbursement to the Funder of any expended or unexpended funds. Any change in percent effort of the Recipient, or other personnel providing a substantial intellectual contribution to the Project (collectively, the "Key Personnel") requires prior written request and approval. Requests should include the reason for the change and a description of how the change will affect the scope of work, implementation, and timeline of the Project. All requests for changes to the Project design, aims, or percent effort of the Recipient or Key Personnel must be received by the Administrator at least thirty (30) days prior to the desired effective date of the change.

The Recipient and the Mentor must notify the Administrator of any change in the Recipient's academic or employment status in a timely manner and usually not less than thirty (30) days prior to such change. The Funder reserves the right to terminate the Award in the event of a significant change in the Recipient's academic status, including promotions conferring independent faculty status or its equivalent. The receipt of additional funding through other



awards or promotion must also be reported to the Administrator and the return of unexpended funds will be assessed on a case-by-case basis. In the case of fellowship termination, any unexpended funds remaining from the Award must be returned to the Administrator or the Funder.

Transfer or Termination of Award: Awards are made to the Institution where the named Recipient is conducting research. If the Recipient plans on moving to another non-profit academic, medical, non-governmental or research institution during the award period, Recipient will notify and seek approval from the Administrator to continue the Project at the Recipient's new institution. If approved, the Institution will return unexpended Project funds, subject to allowable costs and non-cancelable obligations, to the Administrator to coordinate the transfer of unexpended funds to the new institution.

In the event of early termination of this Agreement, for any reason, Institution will be reimbursed for allowable costs and non-cancellable obligations incurred prior to the date of termination.

If the Recipient is not continuing the Project in another nonprofit research setting, the award will be canceled, and unused funds must be returned within sixty (60) days. Transfer of the award to another PI, if applicable, is not permitted. Disposition of and title to any equipment purchased by the Recipient with award funds will be evaluated on a case-by-case basis. If the Project is terminated for any reason, any unused funds, subject to allowable costs and non-cancelable commitments incurred in the performance of the Project but not yet paid for, must be returned to the Administrator within sixty (60) days. Performance under this Agreement may be terminated by either party upon thirty (30) days written notice to the other.

It is the responsibility of the Recipient as well as the Institution to notify the Administrator of any change in employment status of the Recipient in a timely manner and usually not less than thirty (30) days prior to such change.

Unused Funds and Reversion: Should any of the following events occur, the Administrator, on behalf of the Funder, may demand repayment of all unexpended portions of the award; moreover, all unpaid installments may be cancelled. The Institution is also required to give written notice if there is a change in the Institution's status as noted below.

- A determination, preliminary or otherwise, is made by the United States Internal Revenue Service that the award does not constitute a qualifying distribution.
- The Institution fails to perform any of its duties, in the judgment of the Funder, the Administrator, or its Scientific Review Committee, required by the Application Guidelines and this Agreement. In such cases, the Administrator shall provide no less than thirty (30) days termination notice in writing to the Institution, upon which the Institution shall have an additional thirty (30) days following receipt of such notice within which to cure any deemed failures.
- The Institution ceases to be exempt from income taxes under the Internal Revenue Service Code or becomes a private foundation.
- There is a material change in the purpose, character, or method of operation of the Institution such as to jeopardize its tax status.

Unexpended Funds: Any funds remaining at the close of an Award Period (extended via NCE or otherwise) must be returned to the Administrator within sixty (60) days.



Medical and Family Leave: The Recipient may continue to expend any award funds allocated to salary during medical or parental leave consistent with the Institution's policies.

Reporting Requirements and Payment Schedules: Final scientific and financial reports are due sixty (60) days following conclusion of the Award Period. Progress reports are due annually in June, regardless of award start date. The Mentor is required to complete a section of the Recipient's Progress Report that addresses the Recipient's research and training plans during the second year of funding. The Recipient will receive access to the required online report forms by email approximately three (3) weeks prior to their due dates. It is the responsibility of the Recipient to email the Financial Report Form to the Institution's Financial Officer and ensure that the Administrator receives this completed form. The Funder and Administrator reserve the right to place a hold on funds where the Recipient is non-compliant with these reporting requirements.

Although awards are made for two consecutive years, funding of the second year is not automatic and is dependent upon a satisfactory review of first-year progress by the Scientific Review Committee.

Requests for NCE or re-budgeting should be made to the Administrator a minimum of thirty (30) days prior to requested effective date of change. In cases where an extension has been granted, Recipients may be required to file an interim status report.

In order for the Funder to understand the impact of the award in the longer term, the Recipient will be expected to complete brief Alumni Reports as requested following the Award Period. Completing these forms will help ensure that all outcomes related to research funding are captured, so that the Funder can fully understand the value of its investments in research.

Patents, Copyright and Intellectual Property: The Recipient should follow the Institution's policies regarding discoveries or any other intellectual property that results from research conducted under this Project. Neither the Administrator nor the Funder of this Project will retain any rights to intellectual property including patents, copyrights, trademarks, or other proprietary rights that result from the Project.

Confidentiality and Third-Party Release: Application materials as well as scientific progress and final reports are considered confidential. The Administrator engages third parties who have the necessary expertise to review the submitted materials and evaluate each project. Although the Administrator endeavors to protect the confidentiality of the reports by requiring reviewers to sign confidentiality agreements, confidentiality cannot be guaranteed. The Administrator and the Funder are not responsible for any consequences resulting from the disclosure of the content of these materials to such third parties.

The Administrator and the Funder reserve the right to public acknowledgement of Project information (Recipient Name, Institution, Project title and research summary). This information will be made available through the website of the Administrator (hria.org/grants/king) and may be posted on other affiliated organization websites, publicly accessible databases of privately funded awards, or published in print form or other media. As noted in the application guidelines, the Project summary submitted with the application will be posted on the Administrator's website if the Project is funded.



Scientific Poster Sessions and Events: The Recipient is expected to share research findings in a timely manner through professional meetings and/or publications.

Acknowledgements: Professional publications or presentations resulting from Project work supported by the award must acknowledge, the **Charles A. King Trust Postdoctoral Research Fellowship Program, Bank of America Private Bank, Trustee.** In some cases where a specific donor has supported the fellowship, the donor should also be acknowledged. If the fellowship is supported by a named donor, the Recipient is expected to send a brief note of appreciation to the donor at the beginning of the fellowship.

Post Award: Recipient shall make good faith efforts to respond to the Administrator's reasonable requests for information on his/her research progress, new position, affiliation, or contact information (especially email address) following the award period. The Recipient may be requested to provide a current Biosketch or update information in an online database. The Recipient understands that this obligation survives the award period.

